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7-13-01
JMJ1036 U.S. PTO
09/840400Express Mail Label No: EI855833663USDate of Deposit: April 23, 2001

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Karen Orzechowski

Name of Person Mailing Paper

Karen Orzechowski

Signature of Person Mailing Paper

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: R. Bertram et al. : April 23, 2001
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Serial No.: unassigned : IPLaw 2Y7/B656
:
:
Filed: (Herewith) : IBM Corporation
:
:
Title: METHOD AND SYSTEM FOR PROVIDING :
AND VIEWING PERFORMANCE ANALYSIS : Group Art Unit:
OF RESOURCE GROUPS :
:

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith.

Respectfully submitted,

By: Joscelyn G. Cockburn
Joscelyn G. Cockburn
Attorney of Record
Reg. No. 27,069

JGC:ko
Enclosures
Phone: 919-543-9036
Fax: 919-543-3634

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

ATTY. DOCKET NO.

RAL920010115US1/1971P

SERIAL NO.



APPLICANT:

Bertram et al.

(Use several sheets if necessary)

FILING DATE:

Herewith

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		5 2 8 3 8 9 7	Feb 1 1994	Georgiadis et al.			
		5 7 0 1 4 8 2	Dec 23 1997	Harrison et al.			
		5 8 9 8 8 7 0	Apr 27 1999	Okuda et al			
		5 5 0 6 9 8 7	Apr 9 1996	Abramson et al			
		4 9 3 3 9 3 6	Jun 12 1990	Rasmussen et al.			
		6 1 0 5 0 5 3	Aug 15 2000	Kimmel et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Bruno Séhabiague, "Cluster & workload Management", October 1997, Pages 1-19.

M. J. freely et al., "Implementing global memory management in a workstation cluster", Proc of the fifteenth ACM Symp on
Operating systems principle, 1995, p201-212.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line
through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	6 1 0 5 0 5 3	Aug 15 2000	Kimmel et al			
	6 0 6 1 7 6 1	May 9 2000	Bachmat			
	5 9 2 3 8 7 4	Jul 13, 1999	Koenig			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	Y. Wada et al., "Distributed algorithms for allocating resources to process groups and their complexity", 1999, Systems and computers in Japan v30, n6, pages 23-35.
	IBM TDB 08-88 v23 n3 p1134-1135, "Monitoring of Devices Usage".

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FOREIGN PATENT DOCUMENTS

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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		IBM TDB 05-88 v30 n12, Pages 268-277, "Layout algorithm for computer network management graphics".

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
					YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	U.S. Patent Application filed 3/13/2001, Bertram et al., "Method and System for Providing Performance Analysis for Clusters", docket No. RAL920000116US1.
	U.S. Patent Application filed 2/23/1999, Bertram et al., serial no. 09/256,452, "System and Method for Monitoring and Analyzing Computer System Performance and Making Recommendations for Improving It"

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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		U.S. Patent Application Serial No. 09/255,955, filed 2/23/1999, "System and Method for Identifying Latent Computer System Bottlenecks and for Making Recommendations for Improving Computer System Performance", Bertram et al.
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	U.S. Patent Application serial no. 09/255,680, "System and Method for Predicting Computer System Performance and for Making Recommendations for Improving its Performance", filed 2/23/1999

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